

FORM PTO-1449

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10/823,990

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Chia Gee WANG et al.

FILING DATE

GROUP

April 14, 2004

2811

2818

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
ah	AA	6,376,337	04/02	Wang et al.	
ah	AB	6,294,802	09/01	Unozawa	
ah	AC	6,355,951	03/02	Hattori	
ah	AD	6,479,836	11/02	Suzuki et al.	
ah	AE	6,452,206	09/02	Harman et al.	
ah	AF	6,537,911	03/03	Ko et al.	
ah	AG	4,261,771	04/1981	Dingle et al.	
	AH				
	AI				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AJ					
	AK					
	AL					
	AM					

## OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

ah	AN	Tsu, R. "Phenomena in silicon nanostructure devices", <i>Applied Physics A</i> (2000), 71: 391-402
ah	AO	Tsu, Raphael et al. "Quantum Confinement in Silicon", <u>Proceedings of the Fourth International Symposium on Quantum Confinement: Nanoscale Materials, Devices, and Systems</u> , Volume 97-11, Cahay, M. et al. Editors. New Jersey: The Electrochemical Society, Inc. 341-351
ah	AP	Ding, Jinli and Raphael Tsu. "The determination of activation energy in quantum wells", <i>Appl. Phys. Lett.</i> (1997), 71(15): 2124-2126
ah	AQ	Seo, Yong-Jin and Raphael Tsu. "Electronic and Optical Characteristics of Multilayer Nanocrystalline Silicon/Adsorbed Oxygen Superlattice", <i>Jpn. J. Appl. Phys.</i> (2001), 40: 4799-4801
ah	AR	Seo, Yong-Jin et al. "Transport through a nine period silicon/oxygen superlattice", <i>Applied Physics Letters</i> (2001), 79(6): 788-790

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.